



FORM PTO-1449	Atty. Docket No.: S13.12-0147	Appl. No.: 10/631,928
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Clifford Teoh et al.	
	Filing Date	Group Art:
	July 31, 2003	3731

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
✓W	AA	4,364,392	12/1982	Strother et al.	128	325
	AB	4,705,517	01/1999	DiPisa, Jr.	623	12
	AC	5,334,210	08/1994	Gianturco	606	151
	AD	5,645,558	07/1997	Horton	606	191
	AE	5,916,235	06/1999	Guglielmi	606	200
	AF	5,928,260	07/1999	Chin et al.	606	200
	AG	5,522,822	06/1996	Phelps et al.	606	151
	AH	5,454,833	10/1995	Boussignac et al.	606	213
	AI	6,346,117	02/2002	Greenalgh	606	200

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
✓W	AJ	WO 99/03404	01/1999	PCT			
	AK	WO 99/05977	02/1999	PCT			
	AL	WO 99/01591	01/1996	PCT			
	AM	WO 99/42059	08/1999	PCT			

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓W	AN	Office Action dated May 1, 2003, in association with serial no. 09/918,991, filed July 31, 2001 (parent case)
	AO	Office Action dated August 27, 2003, in association with serial no. 09/918,991, filed July 31, 2001 (parent case)
	AP	

EXAMINER:

*Nguyen T. Tran*

DATE CONSIDERED:

4/16/07

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



-5-

FORM PTO-1449	Atty. Docket No.: S13.12-0147	Appl. No.: 10/631,928
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Clifford Teoh et al.	
	Filing Date	Group Art:
	July 31, 2003	3731

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
✓✓	AQ	6,350,270	02/2002	Roue	606	151
	AR	Pub. No. 2003/ 0028209	02/2003	Teoh et al.	606	191
	AS					
	AT					
	AU					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
✓✓	AV	0 358 767	01/1988	EPO		
	AW	WO 97/26939	01/1996	PCT		
	AX	WO 00/27292	11/1999	PCT		
	AY	WO 99/30640	12/1998	PCT		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AZ	
	BA	
	BB	

EXAMINER:

*Harvey Victor*

DATE CONSIDERED:

4/16/07

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box →

+

PTO/SB-08A (08-00)

Approved for use through 10/31/2002. OMB 0831-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete If Known

Application Number	10/631,928
Filing Date	July 31, 2003
First Named Inventor	Clifford Tech et al.
Group Art Unit	3734
Examiner Name	Nguyen, Vi X.
Attorney Docket Number	01-0170 (US02)

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
✓	1.	4,705,517		DiPisa, Jr.	11/10/1987	
	2.	5,334,210		Gianturco	08/02/1994	2

### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
✓	3.	EP	0 682 428 A2		Arenal Vascular Engineering, Inc.	12/09/1998		
	4.	WO	98/39849		Dubrul et al.	08/12/1998		
	5.	WO	01/013808 A1		Micro Therapeutics, Inc.	03/08/2001	2	
	6.							
	7.							
	8.							
	9.							
	10.							

### OTHER DOCUMENTS - NON PATENT LITERATURE

Examiner Initials *	Cite No. *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>7</sup>
✓	11.	PCT International Search Report for PCT/US02/23528 (WO 03/011151), Applicant: Solimed Life Systems, Inc., Form PCT/ISA/210, dated December 12, 2002 (8 pages).	
	12.		
	13.		

Examiner Signature	<i>Ying. V. X.</i>	Date Considered	4/16/07
-----------------------	--------------------	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Alexandria, VA 22313-1450.